

# QUINNIPIAC G.A.M.E. II FORUM

GLOBAL ASSET MANAGEMENT EDUCATION

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March 29–31, 2012

Sheraton New York Hotel & Towers, NYC

# WELCOME TO THE QUINNIPIAC G.A.M.E. II FORUM

Over the next 2½ days, the second annual Quinnipiac Global Asset Management Education (G.A.M.E.) II Forum is bringing an international group of current and future leaders from the financial services industry together to explore important developments, challenges and opportunities facing investment professionals within our global financial markets.

Industry support for this innovative investment conference is very strong. NASDAQ OMX Group, The Hartford, CFA Institute, LPL Financial, Eagle Asset Management and Davidson Investment Advisors, as Quinnipiac G.A.M.E. II Forum Strategic Partners, are joined by 93 firms in providing more than 122 keynote speakers, panelists, workshop presenters and student-managed portfolio competition judges. The global dimension of the Quinnipiac G.A.M.E. II Forum is also reflected in the more than 38 countries represented by our international group of participating students and their faculty mentors from more than 110 colleges and universities.

Today, 16 keynote speakers will explore the economy, alternative assets, stock markets and corporate governance. After the keynote speaker panelists make their initial comments, the moderator will welcome questions from students in the audience. This will be your first opportunity to interact with top leaders in the financial services industry.

On day two of G.A.M.E., students and faculty will participate in a number of concurrent breakout sessions, workshop presentations and keynote perspectives that will explore portfolio management, equity analysis, forensic accounting, risk and ethical decision making, to name a few. G.A.M.E. concludes on day three with a focus on careers and academic program development. Your G.A.M.E. experience will be rounded out through interactive career discussions with a group of young professionals who once sat in your shoes.

We look forward to seeing you at the Quinnipiac G.A.M.E. III Forum in Spring 2013 in New York City. For more information, visit [qgame.quinnpiac.edu](http://qgame.quinnpiac.edu), email [qgame@quinnpiac.edu](mailto:qgame@quinnpiac.edu) or call 203-582-3888.

Sincerely,



**DR. DAVID A. SAUER**

Founder, Managing Director & Program Chair  
Quinnipiac G.A.M.E. II Forum

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The NASDAQ OMX Group, Inc. is the world's largest exchange company. It delivers trading, exchange technology and public company services across six continents, with more than 3,400 listed companies. NASDAQ OMX offers multiple capital-raising solutions to companies around the globe, including its U.S. listings market, NASDAQ OMX Nordic, NASDAQ OMX Baltic, NASDAQ OMX First North, and the U.S. 144A sector. The company offers trading across multiple asset classes including equities, derivatives, debt, commodities, structured products and exchange-traded funds. NASDAQ OMX technology supports the operations of more than 70 exchanges, clearing organizations and central securities depositories in more than 50 countries. NASDAQ OMX Nordic and NASDAQ OMX Baltic are not legal entities but describe the common offering from NASDAQ OMX exchanges in Helsinki, Copenhagen, Stockholm, Iceland, Tallinn, Riga and Vilnius. For more information about NASDAQ OMX, visit [www.nasdaqomx.com](http://www.nasdaqomx.com).



## THE HARTFORD

Celebrating 200-plus years of helping its customers achieve what's ahead, The Hartford (NYSE: HIG) is an insurance and wealth management company. Through its unique focus on customer needs, the company serves businesses and consumers by providing the products and solutions they need to protect their assets and income from risks and manage their wealth and retirement needs. A Fortune 100 company, The Hartford is recognized widely for its service expertise and as one of the world's most ethical companies. More information on the company and its financial performance is available at [www.thehartford.com](http://www.thehartford.com).



## CFA INSTITUTE

CFA Institute is the global association for investment professionals. It administers the CFA and CIPM curriculum and exam programs worldwide; publishes research; conducts professional development programs; and sets voluntary, ethics-based professional and performance reporting standards for the investment industry. CFA Institute has more than 100,000 members, including the world's 96,000 CFA charterholders, in 133 countries and territories as well as 135 affiliated professional societies in 58 countries and territories. More information may be found at [www.cfainstitute.org](http://www.cfainstitute.org).



## LPL FINANCIAL



LPL Financial was founded with the vision of helping entrepreneurial financial advisers establish successful businesses through which they could offer truly independent financial guidance and advice. Today, with headquarters in Boston, Charlotte and San Diego, LPL Financial and its more than 2,500 employees support more than 12,000 financial advisers in communities across the United States, enabling them to provide objective, conflict-free financial advice to millions of Main Street Americans. For more information about LPL Financial, visit [www.lpl.com](http://www.lpl.com).

## EAGLE ASSET MANAGEMENT

Since 1976 Eagle has been committed to employing investment managers with extensive experience in the investment-management industry. In fact, our managing directors have an average 25 years of investment experience and average firm tenure of more than a decade. Over the years, we've sought portfolio managers whom we believe possess the rare talent and insight required to construct portfolios that limit downside risk and add alpha over time. Eagle is as committed to providing our clients superior performance today as the day we were founded 35 years ago. It's that unwavering dedication that helps ensure we'll be around for another 35 years. Additional information is available at [www.eagleasset.com](http://www.eagleasset.com).



## DAVIDSON INVESTMENT ADVISORS

Davidson Investment Advisors, an SEC registered investment adviser, has provided asset management services for corporations, employee benefit plans, foundations and trusts since 1975, applying a consistent, repeatable and time-tested approach to portfolio management with a particular focus on the application of independent thought within the context of a team orientation. The firm offers four distinct investment strategies managed by a team of investment professionals whose considerable depth and breadth of experience within the capital markets allows for a truly unique perspective. Davidson's investment base of operations is equally divided between Great Falls, Mont., and Seattle while Denver serves as a marketing and client servicing office. More information is available at [www.davidsoncompanies.com/dia/](http://www.davidsoncompanies.com/dia/)



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## QUINNIPIAC UNIVERSITY

Quinnipiac University offers 70 undergraduate and graduate programs to 6,000 undergraduate and more than 2,000 graduate and law students through its Schools of Business, Communications, Education, Health Sciences, Nursing and Law, and the College of Arts and Sciences. Quinnipiac's 250-acre Mount Carmel Campus, next to Sleeping Giant State Park, contains academic buildings and residence halls. The nearby 250-acre York Hill Campus houses the TD Bank Sports Center, plus new residence halls for 1,800 students, a student center and 2,000-car parking garage. A third 104-acre campus in North Haven serves as home to the School of Health Sciences, School of Education, School of Nursing, the future Frank H. Netter, M.D., School of Medicine and other graduate programs. The University consistently ranks among the top regional universities in the North in U.S. News & World Report's America's Best Colleges issue and recently was ranked second in the category of universities that have made the most promising and innovative changes in academics, faculty, campus or facilities. For more information about Quinnipiac University, visit [www.quinnipiac.edu](http://www.quinnipiac.edu).



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# KEYNOTE SPEAKERS



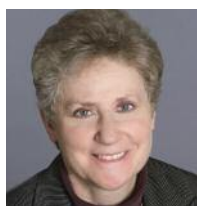
**LARRY V. ADAM, CFA**  
Managing Director &  
Chief Investment Strategist  
Deutsche Bank Private Wealth Management

Larry Adam is a managing director and chief investment strategist for Deutsche Bank Private Wealth Management in the U.S. He is a member of the U.S. Investment Committee, the management team responsible for investment strategy and asset allocation for U.S. discretionary client portfolios, and the Global Investment Committee that formalizes and establishes the global house view. Before his current position, Adam headed the Asset Allocation and Quantitative Analysis Group. He has been quoted in various publications including The Wall Street Journal, Barron's and International Herald Tribune. He also has been featured on CNBC and Bloomberg TV. He earned a BBA and a master's in business, both with finance concentrations, from Loyola University. He also has the Chartered Financial Analyst, Certified Investment Management Analyst and Certified Financial Planner designations.



**GUY ADAMI**  
Managing Director, Drakon Capital  
Fast Money Contributor, CNBC

Guy Adami is the managing director of Drakon Capital, which delivers customized market analysis, portfolio strategy and idea generation for institutional money managers and hedge funds. He also writes a weekly newsletter for retail investors titled "Advantage Point" and contributes daily pre-market analysis and webcasts to optionMONSTER.com. Before launching Drakon Capital, Adami served as executive director at CIBC World Markets in 2003 in its U.S. equities division, where he was the sector head for the industrial/basic material group. He is currently one of the "Fast Money Five" on CNBC's "Fast Money." He previously worked at Goldman Sachs where he was the head gold trader, a proprietary trader within the fixed income currency and commodity division and also headed the firm's industrial/basic material group within the U.S. equities division.



**ABBY JOSEPH COHEN, CFA**  
Senior Investment Strategist & President  
Global Markets Institute, Goldman Sachs

Abby Cohen is president of the Global Markets Institute and senior investment strategist at Goldman Sachs, where she serves on the firm's U.S. retirement investment committee and has served on the partnership committee. She joined Goldman Sachs in 1990 and was named partner in 1998. She previously specialized in quantitative strategy and economics at other major financial firms. Outside of work, Cohen serves on the White House-appointed Innovation Advisory Board for economic competitiveness. She chairs the board of trustees of the Jewish Theological Seminary and serves as a presidential councillor at Cornell University and on the boards of the Weill Cornell Medical College, the Brookings Institution and The Economic Club of New York. She is a former board chair of the Chartered Financial Analyst Institute. Cohen earned degrees in economics from Cornell University and George Washington University.



**DAVID M. DARST, CFA**  
Managing Director,  
Chief Investment Strategist  
Morgan Stanley Smith Barney

David M. Darst is a managing director and chief investment strategist at Morgan Stanley Smith Barney with responsibility for asset allocation and investment strategy. He was founding president of the Morgan Stanley Investment Group. He joined Morgan Stanley in 1996 from Goldman Sachs, where he held senior management posts within the equities division and also served as resident manager of its private bank in Zurich. Darst has written eight books including the bestselling "The Little Book That Saves Your Assets." He appears frequently on CNBC, Bloomberg, FOX and other networks and has contributed numerous articles to Barron's, Euromoney and The Money Manager. Darst earned his MBA from Harvard Business School and has a bachelor's in economics from Yale University. He has lectured extensively at Wharton, Columbia, INSEAD and New York University business schools, and was a visiting faculty member at Yale College, Yale School of Management and Harvard Business School. He is a CFA charterholder and a member of the New York Society of Security Analysts and the CFA Institute.



**THOMAS W. FARLEY, CFA**  
President  
ICE Futures U.S.

Tom Farley is president of ICE Futures U.S., a regulated futures exchange subsidiary of IntercontinentalExchange. Farley oversees day-to-day operations of the exchange including strategic direction, product offerings, market supervision, regulatory compliance and also serves as a member of the board of directors of ICE Futures U.S. and ICE Clear U.S. Prior to joining ICE in 2007, he worked in investment banking and private equity. In 2000, he helped found Kiodex, a risk-management technology provider to the commodity derivatives markets. In 2004, after Kiodes was sold to SunGard, Farley served as chief financial officer and COO of the new business unit, SunGard Kiodes, becoming president in 2006. He holds a BA in political science from Georgetown University and is a CFA charterholder.



**JONATHAN FEIGELSON, JD**  
Senior Managing Director, General Counsel  
& Head of Corporate Governance  
TIAA-CREF

Jonathan Feigelson is a senior managing director, general counsel and head of corporate governance with TIAA-CREF, a national financial services group of companies and the leading provider of retirement saving products and services in the academic, medical, cultural and research fields. Prior to joining TIAA-CREF in 2006, Feigelson was the managing director and general counsel for ABN AMRO's North American Investment Bank. Before that, he was vice president and global director of equity derivatives compliance for Goldman Sachs. Feigelson earned a JD from Columbia University School of Law and was a member of the Columbia Law Review. He earned a master's degree from the London School of Economics and Political Science and graduated from Harvard College.

## KEYNOTE SPEAKERS *continued*



**DR. BOB FROEHLICH**  
**Executive Vice President & Chief  
Investment Strategist—Wealth Management  
The Hartford**

Bob Froehlich is executive vice president and chief investment strategist for wealth management at The Hartford. Froehlich serves as spokesperson on the markets, economy and investing and provides insight through public appearances, customer engagement and media visibility. He is also a member of The Hartford's Investment Oversight and Strategy Committee and an officer of The Hartford Mutual Funds. Froehlich brings more than 30 years of Wall Street and Main Street experience to his role, with previous positions as chief financial officer for a utility company; city manager for Beavercreek, Ohio; economic, financial and investment consultant at Ernst & Whinney; director of research and chief investment strategist at Van Kampen Merritt, among other positions. He earned both a bachelor's and master's degree from the University of Dayton, a master's in management from Central Michigan University, and a doctorate in public policy from California Coast University.



**PHILIP GUZIEC, CFA**  
**Derivatives Strategist  
Editor, Morningstar OptionInvestor  
Morningstar, Inc.**

As a derivatives strategist for Morningstar, Philip Guziec develops its derivatives research products and uses the company's fundamental option investing methodology to edit Morningstar OptionInvestor, an online option research service, and to manage two equity option portfolios. Guziec has developed Morningstar's proprietary volatility indexes and is crafting a suite of tools that use graphical analysis to demystify the complexities of option data and transactions and use Morningstar's proprietary stock research to identify investment opportunities. Prior to his current role, Guziec was an equity analyst and team leader, covering the automotive industry and then investment banks and securities exchanges. He has a BA in economics and mechanical engineering and a master's in mechanical engineering from the University of Illinois at Urbana-Champaign and an MBA from the University of Chicago Booth School of Business.



**EDWARD KNIGHT, JD**  
**Executive Vice President, General Counsel  
& Chief Regulatory Officer  
NASDAQ OMX Group**

Edward Knight is executive vice president and general counsel of the NASDAQ OMX Group. He served as the chief legal officer of the National Association of Securities Dealers from June 1999 until becoming NASDAQ General Counsel in 2001. In that role, he provides legal counsel to senior management and oversees the quality of legal services across the global organization. He also is responsible for government relations, listing qualifications, market regulation and the office of economic research. In addition, he oversees the Office of Corporate Secretary, which is responsible for all of NASDAQ's corporate governance activities. Knight earned a bachelor's degree in Latin-American studies from the University of Texas at Austin and a JD from the University of Texas School of Law.



**TOBIAS M. LEVKOVICH**  
**Chief U.S. Equity Strategist  
Citi Investment Research**

Tobias M. Levkovich is a managing director, chief U.S. equity strategist for Citi Investment Research and is a member of Citi's Investment Strategy Committee. Since 2001, he has been responsible for assessing the direction of the market, setting the firm's investment sector allocations and compiling its recommended list of specific investments that he expects to appreciate in value. Levkovich is a member of Institutional Investor's 2009 All-America Research Team. SmartMoney magazine has recognized him as one of its "Power 30 Thinkers" (November 2004), its "Best Market Seer" (December 2003) and its "30 Smartest People in Investing" (December 2002). Levkovich began his career as an assistant vice president in the research department of L.F. Rothschild & Co. He holds a bachelor's in commerce from Concordia University in Montreal and attended Boston University's Graduate School of Management.



**STEVE LIESMAN**  
**Senior Economics Reporter, CNBC**

As CNBC's senior economics reporter, Steve Liesman reports on all aspects of the economy including the Federal Reserve Bank and major economic indicators. He appears on "Squawk Box" as well as other CNBC programs throughout the business day. Liesman joined CNBC from The Wall Street Journal, where he served as a senior economics reporter covering monetary policy, international economics, academic research and productivity. He also was the Journal's energy reporter and, from 1996-98, its Moscow bureau chief. He was a member of the reporting team recognized with a Pulitzer Prize for stories chronicling the crash of the Russian financial markets. Liesman holds a master of science degree from Columbia University Graduate School of Journalism and a BA in English from the State University of New York, Buffalo.



**LOUIS G. NAVELLIER**  
**Chairman, CEO & CIO  
Navellier & Associates, Inc.**

Louis Navellier is chairman of the board, chief executive officer and chief investment officer of Navellier & Associates, Inc. He is also editor of four leading stock advisory newsletters: "Emerging Growth," "Quantum Growth," "Blue Chip Growth" and "Global Growth." He uses a three-step, bottom-up stock selection process focusing on quantitative analysis, fundamental analysis and optimization of the securities selected for the portfolio. In 1980, Navellier began publishing his research in his stock advisory newsletter, the "MPT Review." Since 1987, he has been active in the management of individual portfolios, mutual funds and institutional portfolios. In addition to appearing on CNBC, Bloomberg, "The Nightly Business Report" and "Wall Street Week," he has been featured in Barron's, Forbes, Fortune, Investor's Business Daily, Money, SmartMoney, The Wall Street Journal and several books. He earned a BS in business administration and an MBA in finance from California State University-Hayward.



**RICHARD PEACH**  
**Senior Vice President**  
**Federal Reserve Bank of New York**

Dick Peach is a senior vice president in the Macroeconomic and Monetary Studies Function of the Federal Reserve Bank of New York. He participates in the development of the bank's forecast for the U.S. economy and oversees the bank's projections of federal receipts and outlays. His long-term research interests have been primarily in housing and real estate finance topics. Prior to joining the Federal Reserve Bank of New York in June 1992, he was staff vice president and deputy chief economist of the Mortgage Bankers Association of America. He played a key role in the development of MBA's Weekly Survey of Mortgage Loan Applications, a closely watched indicator of conditions in housing and mortgage markets. Prior to joining the MBA in 1986, he was staff vice president for forecasting and policy analysis at the National Association of REALTORS®.



**JOHN D. ROGERS, CFA**  
**President & Chief Executive Officer**  
**CFA Institute**

John Rogers joined CFA Institute in January 2009 after more than two decades of global experience as an investment practitioner and executive in the Asia-Pacific region and the U.S. Previously, Rogers worked with Citibank and CIGNA in Japan and Australia before joining Invesco. He served as president and chief investment officer of Invesco Asset Management Ltd., Japan; CEO and co-chief investment officer of Invesco Global Asset Management, N.A.; and CEO of Invesco's worldwide institutional division. After leaving Invesco in 2007, he founded Jade River Capital Management. Rogers is a director of numerous boards, endowment and advisory committees in both the not-for-profit and in the corporate sectors. He earned a BA in history from Yale University, an MA in East Asian studies from Stanford University, and his CFA charter in 1992.



**LIZ ANN SONDEERS**  
**Senior Vice President &**  
**Chief Investment Strategist**  
**Charles Schwab & Co., Inc.**

Liz Ann Sonders analyzes and interprets the economy and markets on behalf of Schwab's entire client base and chairs its Investment Strategy Council. Sonders is a regular contributor to all of Schwab's client newsletters, a keynote speaker at many of the company's corporate events and many outside conferences, including for NYSSA and CFA Institute. Prior to joining Schwab in 2002, she was a managing director at U.S. Trust, a division of Schwab from 2000-07. Before that, she was a managing director and senior portfolio manager at Avatar Associates. She is a regular on many CNBC programs, as well as on ABC's "Good Morning America," CBS's "The Early Show" and Fox Business News. She earned a BA in economics and political science from the University of Delaware and an MBA in finance from Fordham University.



**JOSEPH M. TERRANOVA**  
**Chief Market Strategist,**  
**Virtus Investment Partners**  
**Fast Money Contributor, CNBC**

Joe Terranova is chief market strategist for Virtus Investment Partners. He works with the regional sales teams and financial advisers who sell the company's investment products, providing insight into the domestic and global investing landscape. Terranova has represented Virtus as a keynote speaker and is a member of the firm's Investment Oversight Committee. Before joining Virtus in 2008, he spent 18 years at MBF Clearing Corp., rising to the position of director of trading for the company and its subsidiaries. He is known for his risk-management skills, honed while overseeing MBF's proprietary trading operations during some of the most calamitous times for the U.S. markets, including the first Gulf War, the 1998 Asian Crisis and 9/11. He is a panelist on CNBC's "Fast Money" and a frequent panelist on "Fast Money Halftime Report." He has a bachelor's degree in finance from the Peter J. Tobin College of Business at St. John's University.



**ALEC YOUNG**  
**Global Equity Strategist**  
**S&P Capital IQ**

As a strategist in Standard & Poor's capital IQ equity research department, Alec Young assists in the formulation and communication of the company's global equity strategy for the U.S., Europe and Asia. He is a voting member of the U.S. and European S&P Investment Policy committees, a member of the S&P Sector Strategy Group and is a regular guest on CNBC, Bloomberg & Reuters TV. Young is regularly quoted in various publications including the New York Times and the Wall Street Journal. Before joining Standard & Poor's, Young was a senior portfolio manager with Ascend Capital Management, a London-based asset management boutique where he managed high-net-worth portfolios. Young earned his BA in political science from Grinnell College and completed an executive education course at the University of Pennsylvania's Wharton School of Business on investment management and portfolio strategy.



**DR. LAWRENCE YUN**  
**Chief Economist &**  
**Senior Vice President of Research**  
**National Association of REALTORS®**

Lawrence Yun is chief economist and senior vice president of research at the National Association of REALTORS® where he directs research activity for the association and regularly provides commentary on real estate market trends. Yun creates NAR's forecasts and participates in many economic forecasting panels, including Blue Chip and the Harvard University Industrial Economist Council. He appears regularly on financial news outlets and is a frequent speaker at real estate conferences throughout the U.S. USA Today recently listed him among the top 10 economic forecasters in the country. Previously, he worked as an economic consultant to the U.S. Department of Veterans Affairs and the U.S. Department of Education. He graduated from Purdue University and earned his PhD from the University of Maryland at College Park.

# QUINNIPIAC G.A.M.E. II FORUM

THURSDAY, MARCH 29, 2012

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7–8 a.m.	Event Check-in & Coffee with the Exhibitors	<b>Metropolitan Ballroom (Second Floor)</b> Sponsored by <b>Stradley Ronon Stevens &amp; Young, LLP</b>
8–8:15 a.m.	Opening Remarks	<b>Dr. John L. Lahey</b> , President, Quinnipiac University <i>Welcome from Quinnipiac University</i>  <b>Dr. David A. Sauer</b> , Founder, Managing Director & Program Chair, Quinnipiac G.A.M.E. II Forum <i>Forum Overview</i>  <b>Dr. Bob Froehlich</b> , Executive Vice President & Chief Investment Strategist for Wealth Management, The Hartford <i>Moderator of Keynote Panels</i>
8:15–9:45 a.m.	Keynote Panel	Topic: <b>Economy</b> <b>Larry V. Adam, CFA</b> , Managing Director & Chief Investment Strategist, Deutsche Bank Private Wealth Management <b>Steve Liesman</b> , Senior Economics Reporter, CNBC <b>Liz Ann Sonders</b> , Senior Vice President & Chief Investment Strategist, Charles Schwab & Co., Inc. <b>Dr. Lawrence Yun</b> , Chief Economist & Senior Vice President of Research, National Association of REALTORS®
9:45–10:15 a.m.	Break	Sponsored by <b>Quinnipiac University</b>
10:15–11:45 a.m.	Keynote Panel	Topic: <b>Alternative Assets</b> <b>Philip Guziec, CFA</b> , Derivative Strategist & Editor, Morningstar OptionInvestor, Morningstar, Inc. <b>Tobias M. Levkovich</b> , Chief U.S. Equity Strategist, Citi Investment Research <b>Alec Young</b> , Global Equity Strategist, S&P Capital IQ
11:45 a.m.–12:15 p.m. (Remain seated)	Special Keynote Luncheon Speaker	Topic: <b>Federal Reserve Perspective</b> <b>Richard Peach</b> , Senior Vice President, Federal Reserve Bank of New York
12:15–1:15 p.m.	Break for Lunch	<b>Lunch in New York City</b>
1:30–2:45 p.m.	Keynote Panel	Topic: <b>Stock Markets</b> <b>Guy Adami</b> , Managing Director, Drakon Capital & Fast Money Contributor, CNBC <b>Abby Joseph Cohen, CFA</b> , Senior Investment Strategist & President, Global Markets Institute, Goldman Sachs <b>Louis G. Navellier</b> , Chairman, CEO & CIO, Navellier & Associates, Inc.
2:45–3 p.m.	Break	
3–4:45 p.m.	Keynote Panel	Topic: <b>Corporate Governance</b> <b>Thomas W. Farley, CFA</b> , President, ICE Futures U.S. <b>Jonathan Feigelson, JD</b> , Senior Managing Director, General Counsel & Head of Corporate Governance, TIAA-CREF <b>Edward Knight, JD</b> , Executive Vice President, General Counsel & Chief Regulatory Officer, NASDAQ OMX Group <b>John D. Rogers, CFA</b> , President & Chief Executive Officer, CFA Institute



# QUINNIPIAC G.A.M.E. II FORUM

FRIDAY, MARCH 30, 2012

Sheraton New York Hotel & Towers

8–9 a.m.	Coffee with the Exhibitors	<b>New York Ballroom West (Third Floor)</b> Sponsored by <b>The Options Industry Council</b>
9–10 a.m.	<b>Session I</b>	<b>See sessions &amp; locations below</b>
New York Ballroom East (Third Floor)	Featured Panel	<b>Portfolio Management</b> <i>Moderator:</i> <b>John Augustine, CFA</b> , Chief Market Strategist, Fifth Third Bank, Cincinnati, Ohio <i>High Quality &amp; Government Securities:</i> <b>James C. Camp, CFA</b> , Managing Director of Fixed Income, Eagle Asset Management, St. Petersburg, Florida <i>Equity Income &amp; Intermediate Fixed Income:</i> <b>Edward P. Crotty, CFA</b> , Chief Investment Officer & Portfolio Manager, Davidson Investment Advisors, Great Falls, Montana <i>High Yield &amp; Convertible Securities:</i> <b>Christopher J. Towle, CFA</b> , Partner & Director of High Yield & Convertible Securities, Lord Abbett & Co. LLC, Jersey City, New Jersey
Riverside Ballroom (Third Floor)	Panel Discussion	<b>Electronic Bond Trading</b> <i>Moderator:</i> <b>Christopher Galatioto</b> , Former Leveraged Finance/Structured Trading & Sales Assistant, UBS Investment Bank, Stamford, Connecticut <i>Panelists:</i> <b>Kevin Molloy</b> , Managing Director, Fixed Income, NYSE Euronext, New York, New York <b>George O’Krepie</b> , President & Board Member, Bonds.com Group, New York, New York <b>Lisa Toth</b> , Executive Director, Head of Matched Principal Trading for the Americas, UBS Investment Bank, Stamford, Connecticut
Riverside Suite (Third Floor)	Panel Discussion	<b>Institutional Fixed Income Investment Management</b> <b>Anthony Angeloni</b> , Assistant Credit Research Analyst-Investment Grade Credit, Hartford Investment Management Company, Hartford, Connecticut <b>Frank Guest, CFA</b> , Senior Portfolio Analyst-Funds Management, Hartford Investment Management Company, Hartford, Connecticut <b>Matt Zewinski, CFA</b> , Assistant Portfolio Manager-Insurance Portfolio Management, Hartford Investment Management Company, Hartford, Connecticut
Liberty 3 (Third Floor)	Panel Discussion	<b>Risk Mitigation in 2012—Leap Year &amp; Zero Interest Rates</b> <b>Guillaume Auvray</b> , Director, Structured Alternative Investments, Societe Generale, New York, New York <b>Ralph Edwards</b> , Director, Derivatives Strategy, ITG, New York, New York <b>Philip H. Gocke</b> , Managing Director, The Options Industry Council, Philadelphia, Pennsylvania
Liberty 4 (Third Floor)	Panel Discussion	<b>Fixed Income Strategies: Equipping Institutional Investors with Tools to Evaluate in Difficult Markets</b> <b>Joseph G. Kirchmeier, CIPM</b> , Vice President, Performance & Risk Analytics, BNY Mellon Asset Servicing, New York, New York <b>Jim Nevola, CFA, FRM, CIPM</b> , Vice President, Performance & Risk Analytics, BNY Mellon Asset Servicing, New York, New York
Liberty 5 (Third Floor)	Panel Discussion	<b>Equity Analysis</b> <b>Matthew R. Delly</b> , Research Associate & Partner, Broadline Retail, Cleveland Research Company, Cleveland, Ohio <b>Bryan Merolla, CFA</b> , Senior Research Analyst, Environmental Services, Cleveland Research Company, Cleveland, Ohio <b>Russell M. Pierce</b> , Market Research Associate, Metals & Construction Materials, Cleveland Research Company, Cleveland, Ohio

# QUINNIPIAC G.A.M.E. II FORUM

FRIDAY, MARCH 30, 2012 *continued*

Sheraton New York Hotel & Towers

Conference Room C (Lower Level)	Workshop	<b>The Analysis &amp; Valuation of Privately Held Businesses</b> <b>Kenneth J. Pia Jr., CPA, ABV, ASA, MCBA</b> , Partner & Director of the Business Valuation, Forensic Accounting & Litigation Assistance Group, Meyers Harrison & Pia LLC, New Haven, Connecticut
Conference Room E (Lower Level)	Panel Discussion	<b>Recruiting Process, Debunking Myths &amp; Interview Tips</b> <b>Lauren Casa</b> , Director, Head of Investment Banking Recruiting, UBS, New York, New York <b>Zina King</b> , Vice President, Markets and Operations Recruiter/Program Manager, Royal Bank of Scotland, Stamford, Connecticut <b>Cristina Lambert</b> , Vice President, Head of Sales & Trading Campus Recruiting, Morgan Stanley, New York, New York <b>Katie Travia</b> , Vice President, Head of Recruiting, Morgan Stanley Smith Barney, New York, New York
Conference Room F (Lower Level)	Panel Discussion	<b>Starting &amp; Running a Hedge Fund</b> <i>Moderator:</i> <b>Adam Molina</b> , Head of Product Development, Lombard Odier Asset Management USA, London, United Kingdom <i>Panelists:</i> <b>Steve Grobman</b> , Chief Risk Officer, Lombard Odier Asset Management USA, New York, New York <b>Donald Kucera</b> , Chief Operating Officer-Equities, Lombard Odier Asset Management USA, New York, New York <b>Simon Raykher</b> , General Counsel, Lombard Odier Asset Management USA, New York, New York
Conference Room H (Lower Level)	Panel Discussion	<b>Real Assets—The Life Cycle of Real Estate &amp; Infrastructure Investments</b> <b>Brice Soucy</b> , Analyst, Infrastructure Investment Group, Global Real Assets, JP Morgan Asset Management, New York, New York <b>Eric Wald</b> , Analyst, Real Estate Investment Group, Global Real Assets, JP Morgan Asset Management, New York, New York <b>Jaelyn Weinman</b> , Analyst, Real Estate Investment Group, Global Real Assets, JP Morgan Asset Management, New York, New York
Conference Room I (Lower Level)	Workshop	<b>Credit Default Swaps</b> <b>Judson Redmond</b> , JCAM, New York, New York
Conference Room J (Lower Level)	Panel Discussion	<b>Cross Asset Class Derivatives &amp; Structured Products</b> <b>Stephanie Bauer</b> , Vice President, Merrill Lynch, New York, New York <b>David Breslin</b> , Director, Merrill Lynch, New York, New York <b>Scott Campbell</b> , Vice President, Merrill Lynch, New York, New York <b>Justin Sumner</b> , Director, Merrill Lynch, New York, New York
10–10:30 a.m.	Break	<b>New York Ballroom West (Third Floor)</b> Sponsored by <b>Quinnipiac University School of Business</b>
10:30–11:30 a.m.	<b>Session II</b>	<b>See sessions &amp; locations below</b>
New York Ballroom East (Third Floor)	Keynote Perspective	<b>Be in the Confidence Business</b> <b>Joe Terranova</b> , Chief Investment Strategist, Virtus Investment Partners & CNBC Contributor, Hartford, Connecticut
Riverside Ballroom (Third Floor)	Panel Discussion	<b>Forensic Accounting</b> <b>David J. Grindle, CPA, CFE, CFF</b> , Manager, Marcum LLP, North Haven, Connecticut <b>David L. Reynolds, CPA, CFE</b> , Partner, Marcum LLP, North Haven, Connecticut <b>Richard A. Royston, CPA/ABV, FCA, CVA, CFE, CFF</b> , Founding Member, Roystons LLC, Glastonbury, Connecticut

# QUINNIPIAC G.A.M.E. II FORUM

FRIDAY, MARCH 30, 2012 *continued*

Sheraton New York Hotel & Towers

Riverside Suite (Third Floor)	Perspective	<b>Balance of Trade—The Rise of the Independent RIA Movement</b> <b>Brian S. Hamburger, JD, CRCP, AIFA</b> , Founder & Managing Director, MarketCounsel, Englewood, New Jersey
Liberty 3 (Third Floor)	Panel Discussion	<b>Risk Mitigation in 2012—Leap Year &amp; Zero Interest Rates</b> <b>Guillaume Auvray</b> , Director, Structured Alternative Investments, Societe Generale, New York, New York <b>Ralph Edwards</b> , Director, Derivatives Strategy, ITG, New York, New York <b>Philip H. Gocke</b> , Managing Director, The Options Industry Council, Philadelphia, Pennsylvania
Liberty 4 (Third Floor)	Panel Discussion	<b>Fixed Income Strategies: Equipping Institutional Investors with Tools to Evaluate in Difficult Markets</b> <b>Joseph G. Kirchmeier, CIPM</b> , Vice President, Performance & Risk Analytics, BNY Mellon Asset Servicing, New York, New York <b>Jim Nevola, CFA, FRM, CIPM</b> , Vice President, Performance & Risk Analytics, BNY Mellon Asset Servicing, New York, New York
Liberty 5 (Third Floor)	Panel Discussion	<b>Equity Analysis</b> <b>Matthew R. Delly</b> , Research Associate & Partner, Broadline Retail, Cleveland Research Company, Cleveland, Ohio <b>Bryan Merolla, CFA</b> , Senior Research Analyst, Environmental Services, Cleveland Research Company, Cleveland, Ohio <b>Russell M. Pierce</b> , Market Research Associate, Metals & Construction Materials, Cleveland Research Company, Cleveland, Ohio
Conference Room C (Lower Level)	Workshop	<b>The Analysis &amp; Valuation of Privately Held Businesses</b> <b>Kenneth J. Pia Jr., CPA, ABV, ASA, MCBA</b> , Partner & Director of the Business Valuation, Forensic Accounting & Litigation Assistance Group, Meyers Harrison & Pia LLC, New Haven, Connecticut
Conference Room E (Lower Level)	Panel Discussion	<b>Recruiting Process, Debunking Myths &amp; Interview Tips</b> <b>Lauren Casa</b> , Director, Head of Investment Banking Recruiting, UBS, New York, New York <b>Zina King</b> , Vice President, Markets and Operations Recruiter/Program Manager, Royal Bank of Scotland, Stamford, Connecticut <b>Cristina Lambert</b> , Vice President, Head of Sales & Trading Campus Recruiting, Morgan Stanley, New York, New York <b>Katie Travia</b> , Vice President, Head of Recruiting, Morgan Stanley Smith Barney, New York, New York
Conference Room F (Lower Level)	Panel Discussion	<b>Starting &amp; Running a Hedge Fund</b> <i>Moderator:</i> <b>Adam Molina</b> , Head of Product Development, Lombard Odier Asset Management USA, London, United Kingdom <i>Panelists:</i> <b>Steve Grobman</b> , Chief Risk Officer, Lombard Odier Asset Management USA, New York, New York <b>Donald Kucera</b> , Chief Operating Officer-Equities, Lombard Odier Asset Management USA, New York, New York <b>Simon Raykher</b> , General Counsel, Lombard Odier Asset Management USA, New York, New York
Conference Room H (Lower Level)	Panel Discussion	<b>Real Assets—The Life Cycle of Real Estate &amp; Infrastructure Investments</b> <b>Brice Soucy</b> , Analyst, Infrastructure Investment Group, Global Real Assets, JP Morgan Asset Management, New York, New York <b>Eric Wald</b> , Analyst, Real Estate Investment Group, Global Real Assets, JP Morgan Asset Management, New York, New York <b>Jaclyn Weinman</b> , Analyst, Real Estate Investment Group, Global Real Assets, JP Morgan Asset Management, New York, New York

# QUINNIPIAC G.A.M.E. II FORUM

FRIDAY, MARCH 30, 2012 *continued*

Sheraton New York Hotel & Towers

Conference Room I (Lower Level)	Workshop	<b>Credit Default Swaps</b> <b>Judson Redmond</b> , JCAM, New York, New York
Conference Room J (Lower Level)	Panel Discussion	<b>Cross Asset Class Derivatives &amp; Structured Products</b> <b>Stephanie Bauer</b> , Vice President, Merrill Lynch, New York, New York <b>David Breslin</b> , Director, Merrill Lynch, New York, New York <b>Scott Campbell</b> , Vice President, Merrill Lynch, New York, New York <b>Justin Sumner</b> , Director, Merrill Lynch, New York, New York
<b>11:30 a.m.–12:45 p.m.</b>	Break for Lunch	<b>Lunch in New York City</b>
<b>1–2 p.m.</b>	<b>Session III</b>	<b>See sessions &amp; locations below</b>
New York Ballroom East (Third Floor)	Keynote Perspective	<b>Asset Allocation &amp; Investment Strategy in 2012 &amp; Beyond</b> <i>Moderator:</i> <b>John Augustine, CFA</b> , Chief Market Strategist, Fifth Third Bank, Cincinnati, Ohio <i>Keynote Speaker:</i> <b>David Darst, CFA</b> , Managing Director & Chief Investment Strategist, Morgan Stanley Smith Barney, New York, New York
Riverside Ballroom (Third Floor)	Perspective	<b>The Effect of the Recent Financial Crisis on Retail Financial Institutions</b> <b>Bill Hampel</b> , Senior Vice President & Chief Economist, Credit Union National Association, Washington, D.C.
Riverside Suite (Third Floor)	Panel Discussion	<b>Social Media in Financial Markets</b> <i>Moderator:</i> <b>Jim Binder</b> , Director, Public Relations, The Options Industry Council and Options Clearing Corporation, Chicago, Illinois <i>Panelists:</i> <b>Josh Brown</b> , Vice President of Investments, Fusion Analytics and author of The Reformed Broker blog, New York, New York <b>Dorothy Friedman</b> , Vice President of Marketing, Americas, Fidessa, New York, New York <b>Ray Pellecchia</b> , Vice President, Corporate Communications, NYSE Euronext, New York, New York <b>Scott Peterson</b> , Co-Founder, Relay Station Social Media, Washington, D.C.
Liberty 3 (Third Floor)	Workshop	<b>Euro-zone Stalemate as Cultures Clash</b> <b>Walter Zimmermann Jr.</b> , Vice President & Chief Technical Analyst, United-ICAP, Jersey City, New Jersey
Liberty 4 (Third Floor)	Workshop	<b>Ethical Decision Making</b> <b>Dr. Michael G. McMillan, CFA, CPA</b> , Director, Ethics & Professional Standards, CFA Institute, Alexandria, Virginia
Liberty 5 (Third Floor)	Workshop	<b>Adding Value with Channel Research</b> <b>Matthew R. Delly</b> , Research Associate & Partner, Broadline Retail, Cleveland Research Company, Cleveland, Ohio <b>Bryan Merolla, CFA</b> , Senior Research Analyst, Environmental Services, Cleveland Research Company, Cleveland, Ohio <b>Russell M. Pierce</b> , Market Research Associate, Metals & Construction Materials, Cleveland Research Company, Cleveland, Ohio

# QUINNIPIAC G.A.M.E. II FORUM

FRIDAY, MARCH 30, 2012 *continued*

Sheraton New York Hotel & Towers

Conference Room C (Lower Level)	Panel Discussion	<b>How Technology is Driving Growth in the Options Markets &amp; Where Opportunities Lie</b> <i>Moderator:</i> <b>Dennis Davitt</b> , Capital Markets Expert, Purchase, New York <i>Panelists:</i> <b>Joe Bile</b> , Senior Vice President, Oppenheimer & Co. Inc., New York, New York <b>Stacey Cunningham</b> , Vice President, NASDAQ OMX, New York, New York <b>Allison D. Jacobs</b> , Vice President, Citi Global Electronic Markets, New York, New York
Conference Room E (Lower Level)	Panel Discussion	<b>Risk is a Four-Letter Word</b> <b>Bud Haslett, CFA, FRM</b> , Executive Director, Research Foundation & Head, Risk Management & Derivatives, CFA Institute, New York, New York <b>Navin Sharma</b> , Head, Risk Management-New York Office, Western Asset Management, New York, New York
Conference Room F (Lower Level)	Panel Discussion	<b>Proprietary Trading with Options</b> <b>Ryan Baird</b> , Proprietary Trader, Flotilla Partners, San Francisco, California <b>Scott Jacobs</b> , Proprietary Trader, Zaraba LLC, San Francisco, California
Conference Room H (Lower Level)	Workshop	<b>Commercial Real Estate Lending</b> <b>Jeffrey Rombach</b> , Vice President, Commercial Real Estate, Wells Fargo Bank, N.A., St. Louis, Missouri
Conference Room I (Lower Level)	Workshop	<b>Bloomberg—Uses &amp; Application</b> <b>Jeff Kearns</b> , Federal Reserve Reporter, Bloomberg News, Washington, D.C.
Conference Room J (Lower Level)	Panel Discussion	<b>Operation &amp; Regulation of Investment Vehicles: Open-end Funds, Closed-end Funds, Exchange-Traded Funds &amp; Hedge Funds</b> <b>Fabio Battaglia</b> , Partner, Stradley Ronon Stevens and Young, LLP, Philadelphia, Pennsylvania <b>Aidan O'Connor, Esq.</b> , Attorney, U.S. Securities & Exchange Commission, Washington, D.C. <b>Christopher J. Zimmerman</b> , Senior Associate, Stradley Ronon Stevens and Young, LLP, Washington, D.C.
<b>2–2:15 p.m.</b>	Break	
<b>2:15–3:15 p.m.</b>	<b>Session IV</b>	<b>See sessions &amp; locations below</b>
New York Ballroom East (Third Floor)	Featured Perspective	<b>Trends in Portfolio Management</b> <b>John Augustine, CFA</b> , Chief Market Strategist, Fifth Third Bank, Cincinnati, Ohio
Riverside Ballroom (Third Floor)	Perspective	<b>High Frequency Trading</b> <b>Manoj Narang</b> , Founder & CEO, Tradeworx Inc., Redbank, New Jersey
Riverside Suite (Third Floor)	Workshop	<b>Trend Trading in the Forex, Stock Market &amp; Futures Market</b> <b>Roy Gambella</b> , President, GAM Options Inc., New York, New York <b>Manesh Patel</b> , President, E.I.I. Capital Group Inc., Atlanta, Georgia
Liberty 3 (Third Floor)	Panel Discussion	<b>The Future of Quant Investing</b> <b>Dan Cardell</b> , Chairman, Chicago Quantitative Alliance, Chicago, Illinois <b>Dr. Sebastian Ceria</b> , CEO, Axioma, New York, New York <b>Todd D. Doersch</b> , Advisory Board Member, Blue Diamond Asset Management AG, Chicago, Illinois <b>Ed Keon</b> , Managing Director, Quantitative Management Associates LLC, New York, New York

# QUINNIPIAC G.A.M.E. II FORUM

FRIDAY, MARCH 30, 2012 *continued*

Sheraton New York Hotel & Towers

Liberty 4 (Third Floor)	Perspective	<b>IT/IS Market Structure Evolution &amp; Technology Innovation</b> Michael Urciuoli, Global Head of Capital Markets Technology, JP Morgan Chase & Co., New York, New York
Liberty 5 (Third Floor)	Workshop	<b>Adding Value with Channel Research</b> Matthew R. Delly, Research Associate & Partner, Broadline Retail, Cleveland Research Company, Cleveland, Ohio Bryan Merolla, CFA, Senior Research Analyst, Environmental Services, Cleveland Research Company, Cleveland, Ohio Russell M. Pierce, Market Research Associate, Metals & Construction Materials, Cleveland Research Company, Cleveland, Ohio
Conference Room C (Lower Level)	Workshop	<b>Volatility in the Options Markets—What Is It &amp; What Drives It</b> Joe Burgoyne, Director, Options Industry Council, Moorestown, New Jersey
Conference Room F (Lower Level)	Panel Discussion	<b>Proprietary Trading with Options</b> Ryan Baird, Proprietary Trader, Flotilla Partners, San Francisco, California Scott Jacobs, Proprietary Trader, Zaraba LLC, San Francisco, California
Conference Room H (Lower Level)	Panel Discussion	<b>Unconventional Income—Generating Strategies in a Low-Yield World</b> Philip Camporeale, Executive Director, GMAG, JP Morgan Asset Management, New York, New York Joshua Hemmert, Vice President, GMAG, JP Morgan Asset Management, New York, New York
Conference Room I (Lower Level)	Workshop	<b>Portfolio Construction</b> Ned Rosenman, Vice President, Multi-Asset Client Solutions, BlackRock, New York, New York
Conference Room J (Lower Level)	Panel Discussion	<b>Operation &amp; Regulation of Investment Vehicles: Open-end Funds, Closed-end Funds, Exchange-Traded Funds &amp; Hedge Funds</b> Fabio Battaglia, Partner, Stradley Ronon Stevens and Young, LLP, Philadelphia, Pennsylvania Aidan O'Connor, Esq., Attorney, U.S. Securities & Exchange Commission, Washington, D.C. Christopher J. Zimmerman, Senior Associate, Stradley Ronon Stevens and Young, LLP, Washington, D.C.
3:15–3:30 p.m.	Break	
3:30–4:30 p.m.	Session V	See sessions & locations below
Riverside Ballroom (Third Floor)	Panel Discussion	<b>How Do Hedge Funds Create Value for Investors and the Economy?</b> Perry Boyle, Director of Research, SAC Capital Advisors LLC, New York, New York Rob Cox, U.S. Editor, Reuters Breakingviews, New York, New York Chris DeLong, Partner, Taconic Capital Advisors LP, New York, New York
Riverside Suite (Third Floor)	Perspective	<b>Wealth Management</b> Christopher Duda, Vice President, Private Wealth Management, Goldman Sachs, New York, New York
Liberty 3 (Third Floor)	Panel Discussion	<b>The Future of Quant Investing</b> Dan Cardell, Chairman, Chicago Quantitative Alliance, Chicago, Illinois Dr. Sebastian Ceria, CEO, Axioma, New York, New York Todd D. Doersch, Advisory Board Member, Blue Diamond Asset Management AG, Chicago, Illinois Ed Keon, Managing Director, Quantitative Management Associates LLC, New York, New York

# QUINNIPIAC G.A.M.E. II FORUM

FRIDAY, MARCH 30, 2012 *continued*

Sheraton New York Hotel & Towers

Liberty 4 (Third Floor)	Workshop	<b>Ethical Decision Making</b> <b>Dr. Michael G. McMillan, CFA, CPA</b> ; Director, Ethics & Professional Standards, CFA Institute, Alexandria, Virginia
Liberty 5 (Third Floor)	Workshop	<b>The Mechanics of High Frequency Trading</b> <b>Arzhang Kamarei</b> , President, Tradeworx Inc., Red Bank, New Jersey
Conference Room C (Lower Level)	Workshop	<b>Volatility in the Options Markets—What Is It &amp; What Drives It</b> <b>Joe Burgoyne</b> , Director, Options Industry Council, Moorestown, New Jersey
Conference Room E (Lower Level)	Panel Discussion	<b>Trends within the Investment Management Industry</b> <i>Moderator:</i> <b>Dr. Stephen M. Horan, CFA, CIPM</b> , Head, University Relations & Private Wealth, CFA Institute, Charlottesville, Virginia <i>Panelists:</i> <b>Dr. Vahan Janjigian, CFA</b> , Chief Investment Officer, Greenwich Wealth Management LLC, Greenwich, Connecticut <b>Elizabeth Miller, CFA, CFP</b> , President, Summit Place Financial Advisors LLC, Summit, New Jersey <b>Dr. Peter Went, CFA</b> , VP Banking Risk Management Programs, Global Association of Risk Professionals, Jersey City, New Jersey
Conference Room F (Lower Level)	Workshop	<b>Commercial Real Estate Lending</b> <b>Jeffrey Rombach</b> , Vice President, Commercial Real Estate, Wells Fargo Bank, N.A., St. Louis, Missouri
Conference Room H (Lower Level)	Panel Discussion	<b>Unconventional Income—Generating Strategies in a Low Yield World</b> <b>Philip Camporeale</b> , Executive Director, GMAG, JP Morgan Asset Management, New York, New York <b>Joshua Hemmert</b> , Vice President, GMAG, JP Morgan Asset Management, New York, New York
Conference Room I (Lower Level)	Workshop	<b>Portfolio Construction</b> <b>Ned Rosenman</b> , Vice President, Multi-Asset Client Solutions, BlackRock, New York, New York
Conference Room J (Lower Level)	Workshop	<b>Bloomberg—Uses and Applications</b> <b>Jeff Kearns</b> , Federal Reserve Reporter, Bloomberg News, Washington, D.C.
<b>4:30–5:30 p.m.</b>		<b>Networking Reception &amp; Awards Ceremony</b> New York Ballroom (Third Floor) Sponsored by <b>CFA Institute</b>

# QUINNIPIAC G.A.M.E. II FORUM

SATURDAY, MARCH 31, 2012

Sheraton New York Hotel & Towers

8–9 a.m.	Coffee	New York Ballroom West (Third Floor)
9–10 a.m.	Session VI	See sessions & locations below
New York Ballroom East (Third Floor)	Presentation	<b>The CIPM Designation—A Professional’s Guide for Measuring &amp; Evaluating Performance</b> <b>Dr. Stephen M. Horan, CFA, CIPM</b> , Head, University Relations & Private Wealth, CFA Institute, Charlottesville, Virginia
Liberty 4 (Third Floor)	Career Panel	<b>Financial Media &amp; Journalism</b> <i>Moderator:</i> <b>Courtney Reagan</b> , Reporter, CNBC, New York, New York <i>Panelists:</i> <b>Brendan Conway</b> , Funds Columnist & Blogger, Barron’s, New York, New York <b>Jeff Kearns</b> , Federal Reserve Reporter, Bloomberg News, Washington, D.C.
Liberty 3 (Third Floor)	Career Panel	<b>Sales &amp; Trading—Fixed Income, Options &amp; Derivatives</b> <i>Moderator:</i> <b>Ryan Baird</b> , Proprietary Trader, Flotilla Partners, San Francisco, California <i>Panelists:</i> <b>Scott Jacobs</b> , Proprietary Trader, Zaraba LLC, San Francisco, California <b>Greg Sherry</b> , Analyst, Prime Services Coverage, Credit Suisse, New York, New York
Riverside Ballroom (Third Floor)	Career Panel	<b>Wealth Management, Private Equity &amp; Asset Management</b> <i>Moderator:</i> <b>Ned Rosenman</b> , Vice President, BlackRock, New York, New York <i>Panelists:</i> <b>Cesar N. Gonzalez</b> , Analyst, Wexford Capital, Greenwich, Connecticut <b>Grant Rickelman</b> , Vice President, Northern Trust, New York, New York
Riverside Suite (Third Floor)	Career Panel	<b>Regulatory, Advisory &amp; Consulting</b> <i>Moderator:</i> <b>John Schafer</b> , Manager, Ernst & Young Financial Services Office, New York, New York <i>Panelists:</i> <b>Carolina Perez</b> , Consultant, Monitor Group, New York, New York <b>Jason Schafer</b> , Manager, Ernst & Young Financial Services Office, New York, New York <b>Joseph Smith</b> , Analyst, Deloitte Financial Services Office, New York, New York
Conference Room F (Lower Level)	Career Panel	<b>Investment Banking</b> <i>Moderator:</i> <b>Lianna Hartford</b> , Assistant Director, Enterprise Planning, The Hartford, Hartford, Connecticut <i>Panelists:</i> <b>Julio Calzada</b> , Analyst, Global Services Group, Morgan Stanley, New York, New York <b>Charity Wollensack</b> , Assistant Vice President, Financial Institutions Structuring, Barclays, New York, New York <b>Tiffany Yu</b> , Analyst, Healthcare Coverage Group, Goldman Sachs, New York, New York
Conference Room I (Lower Level)	Career Panel	<b>MBA Perspective</b> <i>Moderator:</i> <b>Judson Redmond</b> , Class of 2013, Stern School of Business, New York University, New York, New York <i>Panelists:</i> <b>Chia-Ying Chung</b> , Class of 2013, The Wharton School, University of Pennsylvania, Philadelphia, Pennsylvania <b>Carolyn Cross</b> , Class of 2012, The Wharton School, University of Pennsylvania, Philadelphia, Pennsylvania



# QUINNIPIAC G.A.M.E. II FORUM

SATURDAY, MARCH 31, 2012 *continued*

Sheraton New York Hotel & Towers

Conference Room C (Lower Level)	Discussion Panel	<b>Innovations in Investment Management Education</b> <b>Dr. John Clinebell</b> , Student & Foundation Fund, University of Northern Colorado, Greeley, Colorado <b>Kevin Mak, CFA</b> , Rotman Financial Research & Trading Lab, University of Toronto, Toronto, Canada <b>Dr. David Nawrocki</b> , Christopher Haley SMF, Villanova University, Villanova, Pennsylvania <b>Dr. David Sauer</b> , Quinnipiac G.A.M.E. II Forum, Quinnipiac University, Hamden, Connecticut <b>Dr. Helen Simon, CFP</b> , Capital Markets Lab, Florida International University, Miami, Florida <b>Dr. Robert Strong, CFA</b> , SPIFFY (Student Portfolio Investment Fund), University of Maine, Orono, Maine
10–10:30 a.m.	Break	
10:30–11:30 a.m.	Session VII	See sessions & locations below
New York Ballroom East (Third Floor)	Presentation	<b>The CFA Designation—Your Professional Passport</b> <b>Dr. Michael G. McMillan, CFA, CPA</b> , Director, Ethics & Professional Standards, CFA Institute, Alexandria, Virginia
Liberty 4 (Third Floor)	Career Panel	<b>Financial Media &amp; Journalism</b> <i>Moderator:</i> <b>Courtney Reagan</b> , Reporter, CNBC, New York, New York <i>Panelists:</i> <b>Brendan Conway</b> , Funds Columnist & Blogger, Barron's, New York, New York <b>Jeff Kearns</b> , Federal Reserve Reporter, Bloomberg News, Washington, D.C.



# QUINNIPIAC G.A.M.E. II FORUM

SATURDAY, MARCH 31, 2012 *continued*

Sheraton New York Hotel & Towers

Liberty 3 (Third Floor)	Career Panel	<b>Sales &amp; Trading—Fixed Income, Options &amp; Derivatives</b> <i>Moderator:</i> <b>Ryan Baird</b> , Proprietary Trader, Flotilla Partners, San Francisco, California <i>Panelists:</i> <b>Scott Jacobs</b> , Proprietary Trader, Zaraba LLC, San Francisco, California <b>Greg Sherry</b> , Analyst, Prime Services Coverage, Credit Suisse, New York, New York
Riverside Ballroom (Third Floor)	Career Panel	<b>Wealth Management, Private Equity &amp; Asset Management</b> <i>Moderator:</i> <b>Ned Rosenman</b> , Vice President, BlackRock, New York, New York <i>Panelists:</i> <b>Cesar N. Gonzalez</b> , Analyst, Wexford Capital, New York, New York <b>Grant Rickelman</b> , Vice President, Northern Trust, New York, New York
Riverside Suite (Third Floor)	Career Panel	<b>Regulatory, Advisory &amp; Consulting</b> <i>Moderator:</i> <b>John Schafer</b> , Manager, Ernst & Young Financial Services Office, New York, New York <i>Panelists:</i> <b>Carolina Perez</b> , Consultant, Monitor Group, New York, New York <b>Jason Schafer</b> , Manager, Ernst & Young Financial Services Office, New York, New York <b>Joseph Smith</b> , Analyst, Deloitte Financial Services Office, New York, New York
Conference Room F (Lower Level)	Career Panel	<b>Investment Banking</b> <i>Moderator:</i> <b>Lianna Hartford</b> , Assistant Director, Enterprise Planning, The Hartford, Hartford, Connecticut <i>Panelists:</i> <b>Julio Calzada</b> , Analyst, Global Services Group, Morgan Stanley, New York, New York <b>Charity Wollensack</b> , Assistant Vice President, Financial Institutions Structuring, Barclays, New York, New York <b>Tiffany Yu</b> , Analyst, Healthcare Coverage Group, Goldman Sachs, New York, New York
Conference Room I (Lower Level)	Career Panel	<b>MBA Perspective</b> <i>Moderator:</i> <b>Judson Redmond</b> , Class of 2013, Stern School of Business, New York University, New York, New York <i>Panelists:</i> <b>Chia-Ying Chung</b> , Class of 2013, The Wharton School, University of Pennsylvania, Philadelphia, Pennsylvania <b>Carolyn Cross</b> , Class of 2012, The Wharton School, University of Pennsylvania, Philadelphia, Pennsylvania



See you in  
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