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FORUM

GLOBAL ASSET MANAGEMENT EDUCATION

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DR. BOB FROEHLICH  
Moderator & Program Co-chair



DR. DAVID SAUER  
Managing Director  
& Program Co-chair

April 4–6, 2013  
Hilton New York Hotel, NYC

**CONFIRMED KEYNOTE SPEAKERS**

Guy Adami, Drakon Capital & CNBC  
Abby Joseph Cohen, CFA, Goldman Sachs  
Philip Guziec, CFA, Morningstar, Inc.  
Dr. Frank M. Hatheway, NASDAQ OMX Group  
Dr. Roger G. Ibbotson, Zebra Capital Management  
Jeffrey N. Kleintop, CFA, LPL Financial Services  
Edward Knight, JD, NASDAQ OMX Group  
Robert H. McCooey Jr., NASDAQ OMX Group  
John D. Rogers, CFA, CFA Institute  
Dr. John E. Silvia, Wells Fargo Securities, LLC  
Sam Stovall, S&P Capital IQ  
Joseph M. Terranova, Virtus Investment Partners



ADDITIONAL INFORMATION AVAILABLE:

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# CONFIRMED KEYNOTE SPEAKERS



**GUY ADAMI**  
**Managing Director, Drakon Capital**  
**Fast Money Contributor, CNBC**

Guy Adami is the managing director of Drakon Capital, which delivers customized market analysis, portfolio strategy and idea generation for institutional money managers and hedge funds.

He also writes a weekly newsletter for retail investors titled “Advantage Point” and contributes daily pre-market analysis and webcasts to optionMONSTER.com. Before launching Drakon Capital, Adami served as executive director at CIBC World Markets in 2003 in its U.S. equities division, where he was the sector head for the industrial/basic material group. He is currently one of the “Fast Money Five” on CNBC’s “Fast Money.” He previously worked at Goldman Sachs where he was the head gold trader, a proprietary trader within the fixed-income currency and commodity division and also headed the firm’s industrial/basic material group within the U.S. equities division.



**ABBY JOSEPH COHEN, CFA**  
**Senior Investment Strategist & President**  
**Global Markets Institute, Goldman Sachs**

Abby Cohen is president of the Global Markets Institute and senior investment strategist at Goldman Sachs, where she serves on the firm’s U.S. retirement investment committee and has

served on the partnership committee. She joined Goldman Sachs in 1990 and was named partner in 1998. She previously specialized in quantitative strategy and economics at other major financial firms. Outside of work, Cohen serves on the White House-appointed Innovation Advisory Board for economic competitiveness. She chairs the board of trustees of the Jewish Theological Seminary and serves as a presidential councillor at Cornell University and on the boards of the Weill Cornell Medical College, the Brookings Institution and The Economic Club of New York. She is a former board chair of the Chartered Financial Analyst Institute. Cohen earned degrees in economics from Cornell University and George Washington University.



**DR. BOB FROEHLICH**  
**Former Executive Vice President &**  
**Chief Investment Strategist—**  
**Wealth Management**  
**The Hartford**

Bob Froehlich was executive vice president and chief investment strategist for wealth management

at The Hartford. Froehlich served as spokesperson on the markets, economy and investing and provides insight through public appearances, customer engagement and media visibility. He was also a member of The Hartford’s Investment Oversight and Strategy Committee and an officer of The Hartford Mutual Funds. Froehlich brings more than 30 years of Wall Street and Main Street experience to his role, with previous positions as chief financial officer for a utility company; city manager for Beavercreek, Ohio; economic, financial and investment consultant at Ernst & Whinney; director of research and chief investment strategist at Van Kampen Merritt, among other positions. He earned both a bachelor’s and master’s degree from the University of Dayton, a master’s in management from Central Michigan University, and a doctorate in public policy from California Coast University.



**PHILIP GUZIEC, CFA**  
**Derivatives Strategist & Editor,**  
**Morningstar OptionInvestor**  
**Morningstar, Inc.**

As a derivatives strategist for Morningstar, Philip Guziec develops its derivatives research products and uses the company’s fundamental option

investing methodology to edit Morningstar OptionInvestor, an online option research service, and to manage two equity option portfolios. Guziec has developed Morningstar’s proprietary volatility indexes and is crafting a suite of tools that use graphical analysis to demystify the complexities of option data and transactions and use Morningstar’s proprietary stock research to identify investment opportunities. Prior to his current role, Guziec was an equity analyst and team leader, covering the automotive industry and then investment banks and securities exchanges. He has a BA in economics and mechanical engineering and a master’s in mechanical engineering from the University of Illinois at Urbana-Champaign and an MBA from the University of Chicago Booth School of Business.



**DR. FRANK M. HATHEWAY**  
**Chief Economist**  
**NASDAQ OMX Group, Inc.**

Frank M. Hatheway is chief economist of the NASDAQ OMX Group, the world’s largest exchange company, and is responsible for a variety of projects and initiatives to support the markets

and improve market structure. Since joining NASDAQ OMX, he has conducted several studies on the role of stock markets in the economy, developed NASDAQ’s opening and closing auctions, and advised on major corporate initiatives. His current projects include evaluating the impacts of regulatory reform proposals on OTC derivatives and of high-frequency trading on equity markets. Prior to joining NASDAQ OMX, Hatheway was a finance professor at Penn State University and a researcher in market microstructure. His academic articles have appeared in the Journal of Finance and Journal of Financial Intermediation, among others. He earned a PhD in economics from Princeton University and has served as an economic fellow and senior research scholar with the U.S. Securities and Exchange Commission.



**DR. ROGER G. IBBOTSON**  
**Chairman & Chief Investment Officer**  
**Zebra Capital**

Roger Ibbotson is chairman and chief investment officer of Zebra Capital and a member of the firm’s portfolio management team. He is also a professor at Yale School of Management. Ibbotson

is the founder, adviser and former chairman of Ibbotson Associates, now a Morningstar company with \$60 billion under advisement. He has written numerous books and articles including “Stocks, Bonds, Bills and Inflation,” with Rex Sinquefeld (updated annually), which serves as a standard reference for information on capital market returns. He conducts research on a range of financial topics including investment returns, mutual funds, international markets, portfolio management and valuation. He recently published “The Equity Risk Premium” with William Goetzmann and “Lifetime Financial Advice.” Ibbotson has also co-authored two books with Gary Brinson, “Global Investing” and “Investment Markets.” He earned a BS from Purdue University, an MBA from Indiana University and a PhD from the University of Chicago.



**JEFFREY N. KLEINTOP, CFA**  
**Chief Market Strategist**  
**LPL Financial**

Jeffrey Kleintop is chief market strategist and executive vice president at LPL Financial. He leads the development and articulation of LPL Financial Research's market and investment strategies, leveraging his expertise in the analysis of global financial markets and asset allocation strategy. Cited by The Wall Street Journal as one of "Wall Street's Best and Brightest" and known for his keen market insights, Kleintop is regularly quoted in many national publications, such as The Wall Street Journal, Bloomberg Business Week and The New York Times, and is a frequent guest on national business television and radio, including CNBC, Bloomberg TV, PBS and FOX Business News. He writes a weekly column on TheStreet.com and is a contributor to Forbes. He is the author of the popular investment book, "Market Evolution: How to Profit in Today's Changing Financial Markets." He has a BS from the University of Delaware and an MBA from Pennsylvania State University.



**EDWARD KNIGHT, JD**  
**Executive Vice President, General Counsel & Chief Regulatory Officer**  
**NASDAQ OMX Group**

Edward Knight is executive vice president and general counsel of the NASDAQ OMX Group. He served as the chief legal officer of the National Association of Securities Dealers from June 1999 until becoming NASDAQ General Counsel in 2001. In that role, he provides legal counsel to senior management and oversees the quality of legal services across the global organization. He also is responsible for government relations, listing qualifications, market regulation and the office of economic research. In addition, he oversees the Office of Corporate Secretary, which is responsible for all of NASDAQ's corporate governance activities. Knight earned a bachelor's degree in Latin-American studies from the University of Texas at Austin and a JD from the University of Texas School of Law.



**ROBERT H. McCOOLEY JR.**  
**Senior Vice President of New Listings & Capital Markets**  
**NASDAQ OMX Group, Inc.**

Robert H. McCooley Jr. is senior vice president of New Listings and the Capital Markets Group. He manages NASDAQ's listings efforts both domestically and in the Americas and Asia Pacific region and also leads the Capital Markets Group, responsible for private equity, investment bank and other Wall Street constituent outreach. Prior to this position, McCooley was chief executive officer of the Griswold Company, an agency he founded in 1988 that served prominent and developing buy-side institutions. He also served on the New York Stock Exchange Board of Executives from 2003-06 and was an exchange floor official for eight terms. McCooley served on NYSE's Group Market Performance Committee and chaired its Technology and Planning Oversight Committee. He has been a regular guest on CNBC. He is a director of Iona Preparatory School, as well as St. Vincent's Hospital in Westchester, NY. He attended the College of the Holy Cross.



**JOHN D. ROGERS, CFA**  
**President & Chief Executive Officer**  
**CFA Institute**

John Rogers joined CFA Institute in January 2009 after more than two decades of global experience as an investment practitioner and executive in the Asia-Pacific region and the U.S. Previously, Rogers worked with Citibank and CIGNA in Japan and Australia before joining Invesco. He served as president and chief investment officer of Invesco Asset Management Ltd., Japan; CEO and co-chief

investment officer of Invesco Global Asset Management, N.A.; and CEO of Invesco's worldwide institutional division. After leaving Invesco in 2007, he founded Jade River Capital Management. Rogers is a director of numerous boards, endowment and advisory committees in both the not-for-profit and in the corporate sectors. He earned a BA in history from Yale University, an MA in East Asian studies from Stanford University, and his CFA charter in 1992.



**SAM STOVALL**  
**Chief Equity Strategist**  
**Standard & Poor's Capital IQ**

As chief equity strategist of S&P's Capital IQ, Sam Stovall serves as analyst, publisher and communicator of S&P's outlooks for the economy, market, sectors and stocks. He chairs S&P's Investment Policy Committee, where he focuses on market history and valuations as well as industry momentum strategies. Stovall is the author of "The Seven Rules of Wall Street," which was published in early 2009, as well as "The Standard & Poor's Guide to Sector Investing." He writes a weekly investment piece on S&P's MarketScope platform ([www.advisor.marketscope.com](http://www.advisor.marketscope.com)), and his work frequently appears in S&P's flagship weekly newsletter, "The Outlook." Prior to S&P, Stovall served as editor-in-chief at Argus Research. He earned an MBA in finance from New York University and a BA in history/education from Muhlenberg College. He is also a certified financial planner.



**DR. JOHN E. SILVIA**  
**Managing Director & Chief Economist**  
**Wells Fargo Securities, LLC**

John Silvia is a managing director and the chief economist for Wells Fargo. Based in Charlotte, N.C., he has held his position since he joined Wachovia, a Wells Fargo predecessor, in 2002 as the company's chief economist. Prior to his current position, he worked on Capitol Hill as senior economist for the U.S. Senate Joint Economic Committee and chief economist for the U.S. Senate Banking, Housing and Urban Affairs Committee. Before that, Silvia was chief economist of Kemper Funds and managing director of Scudder Kemper Investments. He was awarded a NABE Fellow Certificate of Recognition in 2011 for outstanding contributions to the Business Economics Profession and Leadership Among Business Economists to the Nation. Silvia is on the Bloomberg Best Forecast list, serves as a member of the Blue Chip Panel of Economic Forecasters and also serves on an informal advisory group for the Federal Reserve Bank of Philadelphia. He holds BA and PhD degrees in economics from Northeastern University and has a master's in economics from Brown University. His book, "Dynamic Economic Decision Making," was published in August 2011.



**JOSEPH M. TERRANOVA**  
**Chief Market Strategist,**  
**Virtus Investment Partners**  
**Fast Money Contributor, CNBC**

Joe Terranova is chief market strategist for Virtus Investment Partners. He works with the regional sales teams and financial advisers who sell the company's investment products, providing insight into the domestic and global investing landscape. Terranova has represented Virtus as a keynote speaker and is a member of the firm's Investment Oversight Committee. Before joining Virtus in 2008, he spent 18 years at MBF Clearing Corp., rising to the position of director of trading for the company and its subsidiaries. He is known for his risk-management skills, honed while overseeing MBF's proprietary trading operations during some of the most calamitous times for the U.S. markets, including the first Gulf War, the 1998 Asian Crisis and 9/11. He is a panelist on CNBC's "Fast Money" and a frequent panelist on "Fast Money Halftime Report." He has a bachelor's degree in finance from the Peter J. Tobin College of Business at St. John's University.

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**April 4–6, 2013**

# QUINNIPIAC G.A.M.E. III FORUM

**GLOBAL ASSET MANAGEMENT EDUCATION**

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- Keynote panels and perspectives
- Breakout panels and workshops
- Exploring career opportunities
- Optional portfolio competition
- Faculty development opportunities

## QUINNIPIAC G.A.M.E. III FORUM OVERVIEW

Join us in New York City at the Quinnipiac Global Asset Management Education (G.A.M.E.) III Forum April 4–6, 2013. G.A.M.E. will provide an international group of college students and faculty with a unique opportunity to interact with industry leaders and learn best practices in investment management.

On day one, keynote panels will discuss the global economy, global markets, corporate governance and provide a Federal Reserve perspective. Day two will include a variety of breakout panels, workshops and keynote perspectives. The forum will conclude on day three with panels discussing career opportunities within the financial services industry.

Last year, more than 1,000 students and faculty from 118 colleges and universities, representing 43 countries, 42 states and the District of Columbia, participated. In addition, 93 companies and organizations demonstrated their support by providing 124 keynote speakers, panelists, workshop hosts and judges. We hope to see you in NYC!

## QUINNIPIAC G.A.M.E. III FORUM SCHEDULE

**April 4, 2013** • 8:15 a.m.–5 p.m.

- Keynote Panels

**April 5, 2013** • 8:15 a.m.–5 p.m.

- Breakout Panels, Workshops & Keynote Perspectives

**April 6, 2013** • 8:15 a.m.–noon

- Career Panels

## QUINNIPIAC G.A.M.E. III FORUM REGISTRATION

Early registration fees are \$275 for students and \$350 for faculty before March 1, 2013. After March 1, the registration fees increase to \$325 for students and \$400 for faculty. We are also offering an additional \$75 registration discount for participants who reserve a three-night stay at the conference hotel (\$200 for students and \$275 for faculty before March 1.) After March 1, the special registration rates increase to \$250 for students and \$325 for faculty.

## QUINNIPIAC G.A.M.E. III FORUM HOTEL

The Hilton New York (1335 Avenue of the Americas, New York, N.Y.) is providing a special room rate of \$279 per room per night for single/double occupancy and \$289 per room per night for triple/quadruple occupancy for reservations made before March 1, 2013. For additional hotel information or to make your reservation, call 1-800-HILTONS and use Group Code: QUINN.

**ADDITIONAL INFORMATION AVAILABLE:**

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