## Asymmetrical Generalisation of Harmony Triggers

Wendell Kimper // University of Manchester

In vowel harmony systems, certain classes of segments may be preferred as triggers — Kaun (1995) notes that rounding harmony is preferentially triggered by non-high segments. In Yakut, for example, both high and non-high vowels can spread rounding to high targets, but only non-high vowels can spread to non-high targets. Both language-internally (as in Yakut) and across languages, there is an implicational relationship between high and non-high triggers in round-ing harmony: **high-vowel triggers imply non-high triggers, but not vice versa**. Kaun (1995) and others argue that this is phonetically grounded — non-high vowels manifest  $F_2$  contrasts less prominently (Linker, 1982; Terbeek, 1977) and therefore benefit more from the boost in perceptual salience that harmony may provide.

Wilson (2006) proposes that phonetic grounding makes its way into the grammar via biases in learning — while both substantively grounded and arbitrary processes are learnable, the learner assigns a higher prior probability to the former. Moreton and Pater (2012a,b), in their review of artificial grammar learning experiments, find robust evidence for inductive biases based on structural complexity, but mixed and inconclusive evidence where substantive bias is concerned. Following in that line of inquiry, the present study investigates the possibility of substantive inductive bias favouring non-high triggers in rounding harmony. **Hypothesis:** If the implicational relationship described above is encoded as a substantive bias, naïve learners exposed to a harmony pattern triggered by *high* vowels should tend to form *broad generalisations* (including all vowels), while those exposed to *non-high* triggers should show a greater tendency to form *restricted generalisations* (limited to exposed triggers).

**Methods:** 67 native speakers of British English were trained on a novel suffix alternation involving stem-controlled back/rounding harmony; 33 subjects were trained with stems containing only mid vowels (*mid group*) while 34 were trained on stems containing only high vowels (*high group*). Training included both passive listening and testing with feedback (yes/no well-formedness judgements). In the final test phase (with no feedback) subjects were asked to judge *old* forms (specific items seen in training), *new* forms (new items of the same type seen in training) and *novel* forms (items of a new type — high-vowel stems for the mid group, and mid-vowel stems for the high group). 18 mid-group and 22 high-group subjects did not perform better than chance on old items and were excluded.

**Results:** Overall, mid learners showed higher performance than high learners (p < 0.01). As predicted, there was an interaction between group and item type — high learners showed no difference between new and novel items (p > 0.05), while mid learners showed greater generalisation to new items than novel items (p < 0.001). Figure 1 (left) shows that this effect interacted with subjects' overall performance — proficient learners showed a *greater* asymmetry than less proficient learners (p < 0.001). Figure 1 (right) shows that non-learners, analysed separately, showed no asymmetry (p > 0.05). Figure 2 shows that the asymmetry is also somewhat modulated by response time — while the interaction did not reach significance (p > 0.05), late responses show a greater asymmetry than early responses.

**Discussion:** The divergent generalisation behaviour of mid-vowel-trained and high-vowel-trained subjects seems to provide some support for a substantive bias. The interaction between this effect and subjects' overall performance suggests, contra van de Vijver and Baer-Henney (2014), that this distinction can emerge late in learning, and that substantive biases may perhaps be involved in *explicit* (rather than implicit; see e.g. Moreton and Pertsova 2015) concept learning.

## References

- Kaun, Abigail. 1995. The typology of rounding harmony: An Optimality Theoretic approach. Doctoral Dissertation, University of California, Los Angeles.
- Linker, Wendy. 1982. Articulatory and acoustic correlates of labial activity in vowels: A crosslinguistic study. Doctoral Dissertation, UCLA.
- Moreton, Elliott, and J Pater. 2012a. Structure and Substance in Artificial Phonology Learning, Part I: Structure. *Language and Linguistics Compass* 686–718.
- Moreton, Elliott, and J Pater. 2012b. Structure and Substance in Artificial Phonology Learning, Part II: Substance. *Language and Linguistics Compass*.
- Moreton, Elliott, and Katya Pertsova. 2015. Implicit and explicit phonology: What are artificiallanguage learners really doing? Paper presented at the 23rd Manchester Phonology Meeting.
- Terbeek, Dale. 1977. A cross-language multi-dimensional scaling study of vowel perception. Doctoral Dissertation, UCLA.
- van de Vijver, Ruben, and Dinah Baer-Henney. 2014. Learners' little helper. Paper presented at the Annual Meeting of the Linguistics Association of Great Britain.
- Wilson, Colin. 2006. Learning phonology with substantive bias: An experimental and computational study of velar palatalization. *Cognitive Science* 30:945–982.



**Figure 1**: Generalisation by performance, for learners (left) and non-learners (right). 'Correct' responses were consistent with vowel harmony. Error bars are 95% CIs.



## Generalisation by RT (Learners)

Figure 2: Asymmetrical generalisation as a function of response time.