

Today we're going to go through a step-by-step process for proving a problem is NP-complete. The following problem statement is taken from the CPSC 320 2016W1 offering, written by Steve Wolfman.

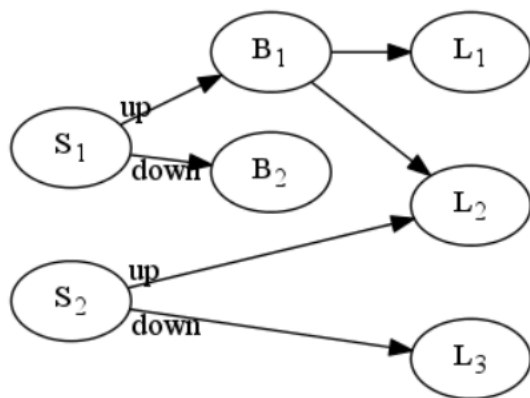
## Transformers

In the ELEC problem, you're given a network of electrical wires which can be represented as a directed, acyclic graph (DAG) with three types of nodes:

- “Switch” nodes supply power. They have **no** wires coming in and two wires going out labeled “up” and “down”. They also have a switch. If the switch is in the up position, then power (electricity) flows into the up wire. If the switch is in the down position, then power flows into the down wire.
- “Branch” nodes can have one wire coming in (which may or may not carry power) and any number of wires going out. If the wire coming in carries power, then all wires going out also carry power. Otherwise, none of the wires carries power.
- “Load” nodes represent electrical devices that must be powered. They have one or more wires coming in and none going out. If any wire coming in carries power, the load is powered. Otherwise, it is not.

The solution to an ELEC instance is YES if some configuration of the switches powers all the loads; otherwise, it's NO.

1. Indicate a configuration of the switches in the following network that powers all the loads by writing “up” or “down” on each switch node. (Switch nodes are labeled S, branch nodes B, and load nodes L.)



*SOLUTION:* A working configuration would be  $S_1$  is up (which powers  $L_1$  and  $L_2$ ) and  $S_2$  is down (this powers  $L_3$ ).

## Proving ELEC is in NP

Complete the following proof that ELEC is in NP (by filling in the parts following the "..."):

**A good *certificate* for ELEC is...**

A configuration of the switches (i.e., whether each switch is pointing up or down).

**We can check this certificate in polynomial time by...**

For each switch, check which load nodes  $L_i$  are powered by it (the certificate tells us whether the switch is pointing up or down; so, follow the appropriate edge from each switch, and record which load nodes it leads to). The certificate is valid if and only if all load nodes are powered. This essentially amounts to a search from each switch  $S$ , which can be done in linear time in the number of edges in the graph (or, in the case of the ELEC problem, the number of arrows in the network).

## Proving ELEC is in NP-hard

We need to find an NP-hard problem to reduce to ELEC. *Before we move on to the next page*, what problems have we encountered so far that might be good choices? (I.e., what NP-hard problem kind of *sound like* ELEC?)

## Proving ELEC is in NP-hard, continued...

We are going to reduce from SAT to ELEC! (So congratulations if SAT was among your guesses to the previous question!)

Complete the following reduction (by filling in the parts following the "..."):

**Define switches  $S_i$  in ELEC that represent...**

The literal  $x_i$  in the SAT instance.

**For each switch  $S_i$ , define a branch node  $B_1^i$  that connects to  $S_i$ 's "up" wire, and a branch node  $B_2^i$  that connects to  $S_i$ 's "down" wire.**

**Define load nodes  $L_j$  in ELEC that represent...**

The  $j$ th clause in the SAT instance.

**Connect branch nodes to load nodes as follows: ...**

If the literal  $x_i$  is in clause  $j$  (i.e., variable  $x_i$  is true in clause  $j$ ), connect  $B_1^i$  to  $L_j$  (here we are assuming that "up" is equivalent to TRUE, but we could have done it the other way as well). If the literal  $\bar{x}_i$  is in clause  $j$  (i.e., variable  $x_i$  is false in clause  $j$ ), connect  $B_2^i$  to  $L_j$ .

**Solve the ELEC instance. Then, the answer to SAT is YES if and only if...**

The answer to ELEC is YES.

## Proving correctness of your reduction to ELEC

Complete the following proof of correctness (by filling in the parts following the "..."):

**Consider the case where the answer to the original SAT instance is YES. This means there exists a truth assignment such that all clauses in the instance evaluate to TRUE. We can then construct a solution to our reduction's ELEC instance as follows: ...**

For the truth assignment of each literal  $x_i$ , do the following:

If  $x_i$  is True, set switch  $S_i$  to be pointing up. If  $x_i$  is FALSE, set switch  $S_i$  to point down.

Because this is a working certificate for SAT, we know that clause  $c_j$  in SAT contains (at least) one variable  $x_i$  such that  $x_i$  is true in  $c_j$ . If the literal  $x_i$  appears in  $c_j$  and  $x_i$  is True, then in our ELEC instance, load  $L_j$  is connected to  $B_1^i$ , which has power going to it because the switch  $S_i$  points up. Similarly, if literal  $\bar{x}_i$  appears in  $c_j$  and  $x_i$  is False, then in our ELEC instance, load  $L_j$  is connected to  $B_2^i$ , which has power going to it because the switch  $S_i$  points down.

Since all the SAT clauses evaluate to True, we know that all loads in ELEC have power with this particular configuration of switches, which means our reduced ELEC instance is a YES instance.

**Therefore, if the SAT instance has answer YES, our reduction will return YES.**

**Now, consider the case where the answer to our reduced ELEC instance is YES. This means there exists a...**

Configuration of switches such that all load nodes have power.

**We can therefore construct a solution to the original SAT instance as follows: ...**

For each switch configuration, do the following:

If switch  $S_i$  point up, set the variable  $x_i$  to be True. If switch  $S_i$  point down, set the variable  $x_i$  to be False.

Each load node  $L_j$  now has power from (at least) one switch, which we'll call  $S_k$ . In SAT, this means the assignment to the  $k$ th literal makes clause  $j$  evaluate to True (i.e., either  $x_k$  is in clause  $j$  and  $x_k$  is True, or  $\bar{x}_k$  is in clause  $j$  and  $x_k$  is False). The fact that all load nodes have power means that every SAT clause contains at least one true literal.

**Therefore, if the answer to the reduced ELEC instance is YES, the answer to the original SAT instance is also YES. This completes our proof.**